## **Understanding Modal analysis (r.e. DyRT paper)**

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Some notes (mostly to myself) on understanding how to get the "modal" equations in the DyRT paper.

DyRT Starts with an equation

$$M\ddot{u} + C\dot{u} + Ku = F$$

This is a general second-order linear vector differential equation (u is a vector).

The result of doing the modal transformation is

$$M_q \ddot{q} + C_q \dot{q} + Kq = Q$$

where the matrices  $M_q, K_q$  are diagonal.

Two facts from differential equations:

- 1. the solutions to linear, constant coefficient differential equations are exponentials. These include both exponential decay and increase,  $\exp(at)$  and sinusoids (a imaginary).
- 2. The solution is some combination of the solutions that are found by setting the right-hand-side forcing term (F) to zero (making the "homogeneous" form of the equation).

Starting with the first fact, any solution u will be an exponential  $u=e^{\lambda t}v$ , where v is a vector. From this,  $\dot{u}=\lambda e^{\lambda t}v$  and  $\ddot{u}=\lambda^2 e^{\lambda t}v$ .

Now apply the second fact (set F to zero), and then substitute  $u, \dot{u}, \ddot{u}$  in the homogeneous equation (Ignore the C (friction) part for now):

$$\lambda^2 M e^{\lambda t} v + K e^{\lambda t} v = 0$$

Now divide by  $e^{\lambda t}$ , giving

$$\lambda^2 M v + K v = 0$$

or

$$Kv = -\lambda^2 Mv$$

or

$$M^{-1}Kv = -\lambda^2 v$$

So v is an eigenvector of  $M^{-1}K$ . In general  $M^{-1}K$  will have more than one eigenvector, all of these are possible particular solutions, and the forced solution will be expressable as a linear combination of them.

In the DyRT paper  $\Phi$  is a matrix whose columns are the eigenvectors v. With this matrix a linear combination of the eigenvectors (i.e. the general solution) is  $\Phi q$ . Substituting this into the original equation,

$$M\Phi\ddot{q} + C\Phi\dot{q} + K\Phi q = F$$

Now pre-multiply by  $\Phi^T$ :

$$\Phi^T M \Phi \ddot{a} + \Phi^T C \Phi \dot{a} + \Phi^T K \Phi a = \Phi^T F$$

DyRT relabels  $M_q \equiv \Phi^T M \Phi$ , etc., giving the second equation at the top.

A mystery: the DyRT paper says that  $M_q, K_q$  are diagonal. How can both of M, K be diagonalized by the same  $\Phi$  matrix? I don't understand that, but it does work out that if  $\Phi$  diagonalizes either one of M or K then it diagonalizes the other:

$$\Phi^T M^{-1} K \Phi = \Lambda$$
 pre and post multiplying by  $\Phi$  gives a diagonal matrix, with the eigenvalues on the diagonal  $M^{-1} K \Phi = \Phi \Lambda$   $K \Phi = M \Phi \Lambda$   $K = M \Phi \Lambda \Phi^T$   $\Phi^T K \Phi = ?$  now given this  $K$ , is it diagonalized by  $\Phi$ ? 
$$= \Phi^T (M \Phi \Lambda \Phi^T) \Phi$$
 
$$= \Phi^T M \Phi \Lambda$$

This says that if M is diagonalized by  $\Phi$ , then K is also.